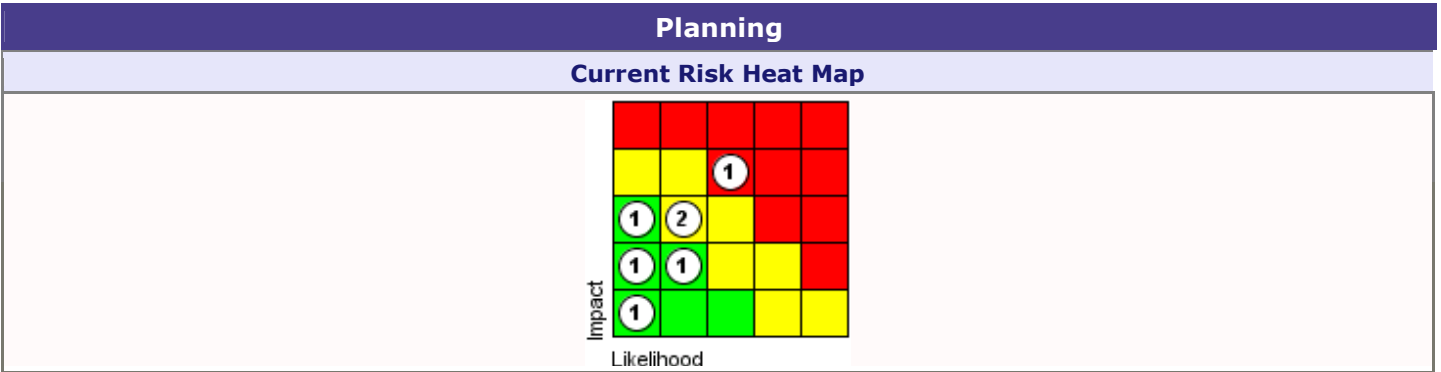






Service Risk Register - Planning



Generated on: 05 October 2009



PL 1 Delivery of Local Development Framework					
Risk Code	Risk Title	Description	Status		
PL 1	Delivery of Local Development Framework	Produce an adopted statutory development plan for Ryedale.			
Consequences		Out of date Policy document. Failure to deliver sustainable communities. Loss of effective control over future development in the district. Adverse impact on likely grant awards- HPDG now based on plan making and housing delivery			
Last Review Date		21 Sep 2009	SMT Lead	Gary Housden	
Previous Risk Matrix			Previous Rating Description		
			IDL3 Critical		
Current Risk Matrix			Current Rating Description		
			IDL3 Critical		
Target Risk Matrix			Target Rating Description		
			ICL1 Noticeable		

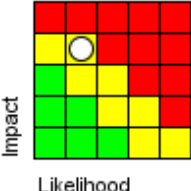
Risk Actions						
Status	Code	Title	Description	Progress Bar	Lead	Latest Note
	RiskA PL 01.01	Ensure that technical reports and evidence base available on time	Ensure that technical reports and evidence base is available on time to hit relevant decision making committees and consultation timetable		Gary Housden	LDS agreed. Final draft of SHLAA agreed and in consultation with developers. Special Full Council arranged for 29.10.09.
	RiskA PL 01.02	Ensure that key consultees respond in accordance with agreed timescales	Ensure that key consultees respond in accordance with agreed timescales for key decision making committees/Full Council		Gary Housden	Technical reports i.e. NYCC/Jacobs and ENTEC regarding highways and site viability at advanced stage. Full Council arranged for 29.10.09 regarding Core Strategy.

PL 2 Planning Decisions

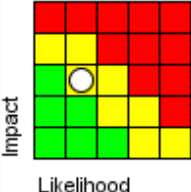
Risk Code	Risk Title	Description	Status
PL 2	Planning Decisions	Timely rigorous planning decisions	
Consequences		Poor Council image. Maladministration. Possibility of legal challenge. Possibility of substantial costs incurred at appeal. Adverse impact on credibility of LPA-officer/member relations	

Last Review Date	21 Sep 2009	SMT Lead	Gary Housden
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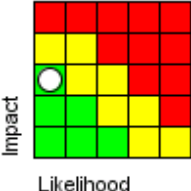
Previous Risk Matrix	Previous Rating Description
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	IDL2 Significant
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Current Risk Matrix	Current Rating Description
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	ICL2 Significant
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Target Risk Matrix	Target Rating Description
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	ICL1 Noticeable
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Risk Actions

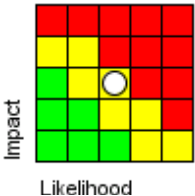
Status	Code	Title	Description	Progress Bar	Lead	Latest Note
	RiskA PL 02.02	Further training- Officers and Members	To ensure that officers and members are adequately trained on emerging issues, new policy and new planning legislation that are likely to affect the work of the service.	<div style="border: 1px solid black; width: 100px; height: 20px; background-color: #e0e0e0; display: flex; align-items: center; justify-content: center;">50%</div>	Gary Housden	Training event arranged with the District Valuer in October for officers and members regarding development viability.
	RiskA PL 02.03	Change to constitution- Scheme of delegation	To ensure scheme of delegation is fit for purpose and to enable timely decisions to be made in accordance with the Council's constitution.	<div style="border: 1px solid black; width: 100px; height: 20px; background-color: #e0e0e0; display: flex; align-items: center; justify-content: center;">100%</div>	Gary Housden	Changes agreed in new Constitution. Delegation rates now at 91%.
	SDP P 0913 10	To deliver an LDF for Ryedale	To have a sound Local Development Framework for Ryedale	<div style="border: 1px solid black; width: 100px; height: 20px; background-color: #e0e0e0; display: flex; align-items: center; justify-content: center;">40%</div>	Gary Housden	Consultation underway for Core Strategy and Development Plan Document for specific sites. (Cross referenced to Risk Code PL 1)

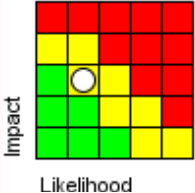
PL 3 Management of IT systems

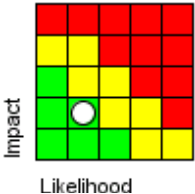
Risk Code	Risk Title	Description	Status
PL 3	Management of IT systems	Providing robust accessible information systems.	

Consequences Inefficient/costly systems. Failure to improve service delivery. Reduced access to service/ possible adverse impact in terms of equalities agenda



Last Review Date	21 Sep 2009	SMT Lead	Gary Housden
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Previous Risk Matrix	Previous Rating Description
	ICL3 Significant

Current Risk Matrix	Current Rating Description
	ICL2 Significant

Target Risk Matrix	Target Rating Description
	IBL2 Noticeable

Risk Actions

Status	Code	Title	Description	Progress Bar	Lead	Latest Note
	RiskA PL 03.01	Upgrade systems	Upgrades to systems to enable electronic consultation to occur and improved website access submissions	<div style="border: 1px solid black; width: 100px; height: 15px; background-color: #4f81bd; display: flex; align-items: center; justify-content: center;">90%</div>	Head of Service; Gary Housden	Already provided new server capacity. Upgrades to Uniform tested and expected to be live by December 2009 - further staff training required.
	RiskA PL 03.02	Further work to get all in house information electronically available	Further work to get all in house information electronically available	<div style="border: 1px solid black; width: 100px; height: 15px; background-color: #4f81bd; display: flex; align-items: center; justify-content: center;">50%</div>	Head of Service; Gary Housden	Land charges now linked to TLC and is live. Some old records still in paper and card systems only.

PL 4 Loss of land charge income

Risk Code	Risk Title	Description	Status
PL 4	Loss of land charge income	Retaining competitiveness of the service.	

Consequences Net increase in cost to the Council of running service. Possible loss of service.

Last Review Date	21 Sep 2009	SMT Lead	Gary Housden
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Previous Risk Matrix	Previous Rating Description
	IDL4 Noticeable


Current Risk Matrix	Current Rating Description
	IBL2 Noticeable

Target Risk Matrix	Target Rating Description
	IBL2 Noticeable

Risk Actions

Status	Code	Title	Description	Progress Bar	Lead	Latest Note
	RiskA PL 04.01	Setting of new fees	Setting of new fees to deal with Con 29 questions that search companies will have to now answer		Gary Housden	New fees agreed by Council and activated. Overall fee income back to budget levels. Some income from search companies retrieved.
	RiskA PL 04.02	Further refinement of information systems	Further refinement of information systems to capture in-house responses to Con 29 questions		Gary Housden	Systems/processes revised - all in-house responses received within performance timeframes. Processes revised to respond to personal search companies within 48 hours notice.

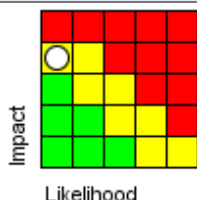
PL 5 Data Quality

Risk Code	Risk Title	Description	Status
PL 5	Data Quality	Failure to deliver accurate, reliable and timely information in respect of all N.I.'s and local performance indicators	

Consequences Poor CAA assessment. Loss of credibility. Loss of reputation /poor public image. Possible loss of grant income

Last Review Date 21 Sep 2009 **SMT Lead** Gary Housden

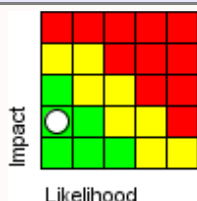
Previous Risk Matrix



Previous Rating Description

IDL1 Noticeable

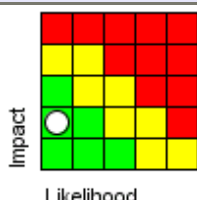
Current Risk Matrix



Current Rating Description

IBL1 Noticeable



Target Risk Matrix




Target Rating Description

IBL1 Noticeable

Risk Actions

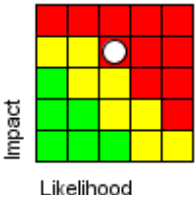
Status	Code	Title	Description	Progress Bar	Lead	Latest Note
	RiskA PL 05.01	Further training of key staff on New N.I.s	Further training of key staff on New N.I.s -to ensure that data remains reliable	<div style="width: 100%;"><div style="width: 100%; background-color: #4f81bd; color: white; text-align: center;">100%</div></div>	Gary Housden	All relevant staff trained and up to date. Managers aware of need to fully train new staff as occasions arise.
	RiskA PL 05.02	Co-ordination between services/authorities where N.I.s are cross-cutting	Co-ordination between services/authorities where N.I.s are cross-cutting and/or reported Countywide (ie NI 188)	<div style="width: 50%;"><div style="width: 50%; background-color: #4f81bd; color: white; text-align: center;">50%</div></div>	Gary Housden	Lead officer designated in this Council i.e. John Brown re NI 188 Adapting to Climate Change. Recruitment of Countryside Management Officer (jointly funded with NYCC) is now agreed to assist with performance and reporting on NI 197 Improved Local Biodiversity.

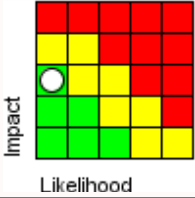
PL 6 Staff Recruitment & Retention

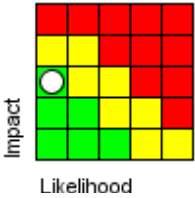
Risk Code	Risk Title	Description	Status
PL 6	Staff Recruitment & Retention	Ability to attract and keep experienced competent staff.	

Consequences Drop in performance. Reduced staff morale. Increased complaints. Loss of reputation.

Last Review Date	05 Oct 2009	SMT Lead	Gary Housden
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Previous Risk Matrix	Previous Rating Description
	IDL3 Noticeable

Current Risk Matrix	Current Rating Description
	ICL1 Noticeable

Target Risk Matrix	Target Rating Description
	ICL1 Noticeable

PL 7 Fraud and corruption			
Risk Code	Risk Title	Description	Status
PL 7	Fraud and corruption	Having rigorous systems in place to prevent fraud and corruption occurring.	
Consequences		Loss of income. Loss of reputation/bad publicity. Poor rating from external inspectors.	
Last Review Date		05 Oct 2009	SMT Lead Gary Housden
Previous Risk Matrix		Previous Rating Description	
		IAL1 Noticeable	
Current Risk Matrix		Current Rating Description	
		IAL1 Noticeable	
Target Risk Matrix		Target Rating Description	
		IAL1 Noticeable	

Key

Risk Status		Action Status	
	OK		Cancelled
	Warning		Overdue
	Alert		Check Progress
	Unknown		In Progress
			Completed